



**TOHONO O'ODHAM NATION  
TRIBAL EMPLOYMENT RIGHTS OFFICE**

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**APPLICATION FOR CERTIFICATION  
AS AN INDIAN PREFERENCE FIRM**

**1. FIRM IDENTIFICATION**

Name of Firm: \_\_\_\_\_  
(exactly as you want it to appear on all documents):

Address: \_\_\_\_\_

Home Telephone: \_\_\_\_\_

Business Telephone: \_\_\_\_\_

Fax Telephone: \_\_\_\_\_

Email Address: \_\_\_\_\_

Date of Submission: \_\_\_\_\_

Single Business: \_\_\_\_\_ Joint Venture: \_\_\_\_\_

Contact Person: \_\_\_\_\_

Address: \_\_\_\_\_

Home Telephone: \_\_\_\_\_

Business Telephone: \_\_\_\_\_

Type of Business: \_\_\_\_\_

(list all areas of business in which firm intends to engage)

Federal Identification Number: \_\_\_\_\_

Number of Employees: \_\_\_\_\_ Number of Indian Employees: \_\_\_\_\_

Year Business was established: \_\_\_\_\_

## 2. OWNERSHIP

### A. Type of Ownership (check one):

- ☐ Sole Proprietor
- ☐ Partnership (attach copy of any partnership agreement with all amendments since creation of partnership.)
- ☐ Corporation (attach copy of the Certification of Incorporation, Articles of Incorporation, and Bylaws, including all amendments since creation of the corporation.)
- ☐ Limited Partnership
- ☐ Limited Liability Corporation (attach copy of Certification of Incorporation, Articles of Incorporation and Bylaws, including all amendments since creation of the corporation.)
- ☐ Tribal Enterprise
- ☐ Other, Please describe \_\_\_\_\_

### B. Percent of Indian Ownership:

\_\_\_\_\_

### C. Provide Name of each Indian Owner:

Name: \_\_\_\_\_

Address: \_\_\_\_\_

Tribal Affiliation: \_\_\_\_\_

Enrollment (provide copy of CIB): \_\_\_\_\_

Percent of Ownership: \_\_\_\_\_

Amount of Investment in the Firm: \_\_\_\_\_

Method of Investment: \_\_\_\_\_

(cash, equipment, loan or promissory note indicating who the loan is from ) \_\_\_\_\_

Percent of Voting Control: \_\_\_\_\_

Position in the Firm: \_\_\_\_\_

### D. List each Non-Indian Owner:

Name: \_\_\_\_\_

Address: \_\_\_\_\_

Percent of Ownership: \_\_\_\_\_

Amount of Investment in Firm: \_\_\_\_\_

Method of Investment : \_\_\_\_\_

(cash, equipment, loan or promissory note indicating who the loan or note is from) \_\_\_\_\_

Percent of Voting Control: \_\_\_\_\_

Position in Firm: \_\_\_\_\_

Name of all other firms in which the owner holds or has within the past year held an ownership interest (other than publicly-held corporations and similar ownerships solely for investment) or a management position. \_\_\_\_\_

**E. List any management fee**

**List Equipment rental**

**List bonuses or other arrangements that will provide payment to non-Indian owners beyond their share of profits and salaries, as indicated above.**

**F. Describe or attach any stock options or other ownership options that are outstanding and any agreement between owners or between owners and third parties which restrict ownership or control of Indian owners.**

**G. Identify any owner or management official of the names company who is or has been an employee of another company that has an ownership interest in or a present business relationship with the name company; present business relationships include shared space, equipment, financing, or employees as well as both companies having some of the same owners.**

**H. Indicate if this company or other companies with any of the same officers have previously received or been denied certification or participation as an Indian preference firm and describe the circumstances.**

**Indicate the name of the certifying authority and the date of such certification or denial.**

### 3. MANAGEMENT

**A. Provide for each owner of more than 5% interest, all senior management personnel and members of the Board of Directors the following:**

1. Name \_\_\_\_\_  
Address \_\_\_\_\_  
Social Security Number \_\_\_\_\_  
If Indian, Tribal Affiliation \_\_\_\_\_  
If Indian, Enrollment Number \_\_\_\_\_
2. Present position \_\_\_\_\_  
(description of all duties)
3. Previous business experience. \_\_\_\_\_
4. Previous work experience in areas in \_\_\_\_\_  
which firm intends to engage.
5. Other previous work experience. \_\_\_\_\_
6. Education and Training. \_\_\_\_\_
7. Other jobs presently held. \_\_\_\_\_

**B. Control of Company.**

Identify by name, race, sex and title in company those individuals (owners and non-owners) who are responsible for day-to-day management, including, but not limited to those with prime responsibility for:

NAME	RACE	SEX	TITLE
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1. Financial decisions.
2. Management decisions, such as:
  - a. Marketing and sales;
  - b. Hiring and firing;
  - c. Purchase of major equipment  
of supplies
  - d. Supervision of field personnel

#### 4. CAPITAL AND EQUIPMENT

**A. Equipment.** List all equipment (costing \$300 or more when new).

QUANTITY	DESCRIPTION	PRICE (Book Value)	HOW OBTAINED Purchased, provided by owner, etc.)
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#### B. Capital

1. Attach a current balance sheet.
2. Identify amount and source of original and present capital (e.g., contributed by owner, bank loan, if loan, indicate name(s) of those legally bound to repay if other than organization).

#### C. Additional Submissions

Each applicant must submit with this application the following:

1. List of officers, principal stockholders, and directors, with post office addresses and number of shares held by each.
2. A sworn statement of the proper officer showing:
  - a. The total number of shares of the capital stock actually issued and the amount of cash paid into the treasury on each share sold; or, if paid in property, the kind, quantity and value of the same per share.
  - b. Of the stock sold, how much remains unpaid and subject to assessment.
  - c. The amount of cash the company has in its treasury and elsewhere.
  - d. The property, exclusive of cash, owned by the company and its value.
  - e. The total indebtedness of the company and the nature of its obligations.

## 5. CERTIFICATION

I hereby certify that the information provided in this application is true and complete to the best of my knowledge and belief. I further hereby certify that I have read the applicable ordinances, regulations, criteria and procedures of the Tohono O'odham Nation and do hereby submit to the jurisdiction provided for therein.

Name of Firm:

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By (Signature of Authorized Official):

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Name (type or print):

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Title (type or print):

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